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 BenefitsForYou.com

## Rollover Contribution Form Connections Credit Union 401(k) Plan and Trust 111-0036-8\_002

**PARTICIPANT INFORMATION** (Please Print Information Clearly)

Employee Name: \_\_\_\_\_ Date of Birth: \_\_\_\_/\_\_\_\_/\_\_\_\_  
 Street: \_\_\_\_\_ City: \_\_\_\_\_ State: \_\_\_\_ Zip: \_\_\_\_\_  
 Social Security Number: \_\_\_\_\_ Date of Hire: \_\_\_\_/\_\_\_\_/\_\_\_\_ Rehire Date: \_\_\_\_/\_\_\_\_/\_\_\_\_  
 Telephone Number: \_\_\_\_\_ Email: \_\_\_\_\_

**ROLLOVER DEPOSIT**

I wish to roll over to the above named Plan the amount listed below. By completing this form, I hereby certify that this is qualified to be deposited into the Plan.

**If Roth Rollover** - Include a statement from your prior plan identifying whether the Roth 401(k) rollover amount is a qualified distribution, the date of your first Roth 401(k) deferral and the amount of your Roth 401(k) deferrals excluding investment returns. A portion of the rollover amount includes Roth contributions made to a qualified retirement plan. The Roth 401(k) amount is \$\_\_\_\_\_.

A portion of the rollover amount includes after-tax (not Roth) contributions made to a qualified retirement plan. The after-tax (not Roth) amount is \$\_\_\_\_\_.

(Please see the Check Deposit Instructions at the bottom of this form regarding who to make the check payable to.)

Source of Funds:

- Another Qualified Plan (pre-tax)  
\$ \_\_\_\_\_
- Another Qualified Plan (after-tax, not Roth)  
\$ \_\_\_\_\_
- Another Qualified Plan (Roth Account)  
\$ \_\_\_\_\_
- Taxable IRA (does not include Roth IRA)  
\$ \_\_\_\_\_

Approximate Amount of Rollover: \$ \_\_\_\_\_

**INVESTMENT ELECTION**

- The same way as my current contributions are being invested. (Do NOT complete the elections below.) *If no elections on record, the money will be invested in either the default fund or the qualified default investment allocation per the plan document.*
- I authorize all contributions to be invested as follows:

CUNA Mutual Stable Value	CMGFIX003	_____ %
MFS VIT Total Return Bond Fund Init	55273F878	_____ %
PIMCO VIT Real Return Instl	693394744	_____ %
Putnam VT High Yield IA	746896307	_____ %
Ultra Series Core Bond I	90388W797	_____ %
Vanguard VIF Total Bond Mkt Idx	921925202	_____ %

BNY Mellon Sustainable US Eq Port Initl	05588H101	_____ %
DFA VA US Targeted Value	233203710	_____ %
Invesco VI Small Cap Equity I	008892549	_____ %
T. Rowe Price Blue Chip Growth Port	77954T506	_____ %
T. Rowe Price Equity Income Port	77954T100	_____ %
Ultra Ser Madison Target Retire 2020 I	90388W854	_____ %
Ultra Ser Madison Target Retire 2030 I	90388W847	_____ %
Ultra Ser Madison Target Retire 2040 I	90388W839	_____ %
Ultra Ser Madison Target Retire 2050 I	90388W532	_____ %
Ultra Series Aggressive Allocation I	90388W805	_____ %
Ultra Series Conservative Allocation I	90388W888	_____ %
Ultra Series Diversified Income I	90388W813	_____ %
Ultra Series Large Cap Growth I	90388W771	_____ %
Ultra Series Large Cap Value I	90388W789	_____ %
Ultra Series Mid Cap I	90388W763	_____ %
Ultra Series Moderate Allocation I	90388W706	_____ %
Vanguard VIF Equity Index	921925301	_____ %
Vanguard VIF Mid-Cap Index	921925855	_____ %
Invesco Oppenheimer VI Intl Gr I	00900X843	_____ %
Lazard Retirement Emerging Mkts Eq Inv	521071829	_____ %
<b>Must indicate whole percentages and total 100%</b>		<b>100%</b>

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**SIGNATURES**

_____	_____
Participant's Signature (Required)	Date
_____	_____
Employer's Signature (Required)	Date

If the rollover is coming from a retirement plan, you can verify that the rollover is from a qualified plan by searching the Department of Labor's EFAST2 database at [www.efast.dol.gov](http://www.efast.dol.gov) for the most recently filed Form 5500 for the distributing plan. Make sure that Line 8a of Form 5500 does not include Code 3C. If the rollover is coming from an IRA, the check stub should say "IRA for [name of employee]" as the source of the funds. For more details, see IRS Revenue Ruling 2014-9.

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**CHECK DEPOSIT INSTRUCTIONS**

Instruct the prior plan to **make the rollover check payable to CMFG Life Insurance Company** for Connections Credit Union 401(k) Plan and Trust FBO \_\_\_\_\_ (your name).

If Regular Mail Return to: CUNA Mutual Group  
P.O. Box 78958  
Milwaukee, WI 53278-8958

If Overnight/Special Return to: U.S. Bank Wholesale Lockbox  
c/o CUNA Mutual Group, Lockbox #78958  
Mail Code: MK-WI-TCWL  
777 E. Wisconsin Ave.  
Milwaukee, WI 53202